

**Collective investment unit's marketing of shares in another country within the EEA  
(Chapter 2, section 15c of SUA)**

*Last reviewed: 2021-07-23*

<p>a) Notification and prior approval of marketing communications.</p>	<p>A fund management company that manages UCITS and intends to offer services in another country within the EEA without establishing a branch must notify FI before business commences.</p> <p>Contents of the notification:</p> <ul style="list-style-type: none"> <li>• a plan for the intended business with information about which services will be offered, and</li> <li>• information about the country in which the business will be conducted.</li> </ul> <p>If the services that are offered include management of a foreign collective investment unit as referred to in Directive 2009/65/EC of the European Parliament and of the Council in the country where the business will be conducted, the plan must also contain information about</p> <ul style="list-style-type: none"> <li>• the fund management company's risk management system, and</li> <li>• measures the fund management company has taken in order to, in the foreign collective investment unit's home state, be able to <ul style="list-style-type: none"> <li>- make payments to unit holders, redeem participations</li> <li>- provide the information the undertaking is obligated to provide</li> <li>- handle complaints.</li> </ul> </li> </ul> <p>Use Appendix 5 and/or 6. The appendices are found at the end of FFFS 2013:9.</p>
<p>b) Any other requirements for the marketing of UCITS that the competent authority considers appropriate.</p>	<p>The fund management company must report, through an authorised person, via Finansinspektionen's Reporting Portal; please go to <a href="https://www.fi.se/sv/rapportera/rapporteringsportalen/">https://www.fi.se/sv/rapportera/rapporteringsportalen/</a>. For information about the deadlines for the reporting, please go to <a href="https://www.fi.se/sv/marknad/rapportering/fond/">https://www.fi.se/sv/marknad/rapportering/fond/</a>.</p>